

May 2020

Certificazione, ai sensi del Decreto Legge 138 del 13 agosto 2011 (Modifica del regime di tassazione dei redditi di capitale e diversi di natura finanziaria in Italia) convertito in Legge n. 148 del 14/9/2011, n. 216 della G.U. del 16.9.2011, relativa alla **percentuale** di obbligazioni ed altri titoli di cui all'art. 31 del D.P.R. N. 601/73, nonché di quelli con regime fiscale equiparato, ed alle obbligazioni emesse dagli Stati inclusi nella c.d. White List presenti nelle **classi di azione** della Sicav **GAIA** valida per il periodo **01/07/2020 – 31/12/2020**.

Abbreviazioni

IRRP: Italian Reduced Rate Percentage

Y/N: indica se la classe di azioni è autorizzata o meno al collocamento in Italia.

ISIN	CCY	% IRRP	LATEST FINANCIAL REPORT DATE	SUB-FUND NAME	Y/N
LU1293073232	USD	58.89%	30/09/2019	Schroder GAIA Blue Trend	Y
LU1293074552	CHF	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293073745	EUR	58.89%	30/09/2019	Schroder GAIA Blue Trend	Y
LU1365049243	SGD	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293073315	USD	58.89%	30/09/2019	Schroder GAIA Blue Trend	Y
LU1293074636	CHF	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293073828	EUR	58.89%	30/09/2019	Schroder GAIA Blue Trend	Y
LU1293074123	GBP	58.89%	30/09/2019	Schroder GAIA Blue Trend	N

LU1293074396	GBP	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293073406	USD	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1725203670	GBP	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1725203837	CHF	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1823585689	CHF	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293074040	EUR	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1823585507	GBP	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293074479	GBP	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293073588	USD	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU1293073661	GBP	58.89%	30/09/2019	Schroder GAIA Blue Trend	N
LU2049314961	USD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU2049315265	USD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU2123042801	CHF	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU2123043015	EUR	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951570505	USD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951571149	CHF	3.64%	30/09/2019	Schroder GAIA Cat Bond	N

LU0951570844	EUR	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU1323608809	USD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU1323609013	SGD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951570760	USD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951571495	CHF	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951571065	EUR	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951570687	USD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951571222	CHF	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU0951570927	EUR	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU2049321230	USD	3.64%	30/09/2019	Schroder GAIA Cat Bond	N
LU1725199209	USD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	Y
LU1725202193	CHF	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	Y
LU1725200650	EUR	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	Y
LU1725202946	SGD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725199464	USD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725199621	USD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	Y

LU1725202359	CHF	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	Y
LU1725200817	EUR	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	Y
LU1725201468	GBP	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1820063789	SEK	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725203167	SGD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725200148	USD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725202789	CHF	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725201203	EUR	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725201898	GBP	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1820063862	SEK	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725203324	SGD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU1725200494	USD	0.00%	30/09/2019	Schroder GAIA Contour Tech Equity	N
LU0463469048	EUR	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	Y
LU0548375855	GBP	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0548376150	USD	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0465750833	GBP	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N

LU0513501626	EUR	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	Y
LU0548376317	USD	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0463469121	EUR	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	Y
LU0548376077	GBP	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU1709302662	JPY	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0548376580	USD	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0489812916	EUR	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0465755048	GBP	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0463472182	EUR	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU0463474048	EUR	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU1309082094	USD	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU2067255591	EUR	0.00%	30/09/2019	Schroder GAIA Egerton Equity Fund	N
LU1809995589	USD	35.71%	30/09/2019	Schroder GAIA Helix	Y
LU1809997015	CHF	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809996470	EUR	35.71%	30/09/2019	Schroder GAIA Helix	Y
LU1809997445	SGD	35.71%	30/09/2019	Schroder GAIA Helix	N

LU1809995746	USD	35.71%	30/09/2019	Schroder GAIA Helix	Y
LU1809997106	CHF	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809996553	EUR	35.71%	30/09/2019	Schroder GAIA Helix	Y
LU1809996801	GBP	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809997528	SGD	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809995829	USD	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809997288	CHF	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809996637	EUR	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809996041	USD	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809997361	CHF	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809996710	EUR	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809996983	GBP	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809997791	SGD	35.71%	30/09/2019	Schroder GAIA Helix	N
LU1809996397	USD	35.71%	30/09/2019	Schroder GAIA Helix	N
LU2066080727	JPY	35.71%	30/09/2019	Schroder GAIA Helix	N
LU2049314532	EUR	35.71%	30/09/2019	Schroder GAIA Helix	N

LU2005494229	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495200	CHF	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494732	EUR	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495549	SGD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494492	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495382	CHF	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494815	EUR	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495036	GBP	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495622	SGD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494575	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495465	CHF	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494906	EUR	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495119	GBP	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005495895	SGD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005494658	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Long Short	N
LU2005492793	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N

LU2005493684	CHF	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493171	EUR	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493924	SGD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005492876	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493767	CHF	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493254	EUR	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493411	GBP	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005494062	SGD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005492959	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493841	CHF	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493338	EUR	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493502	GBP	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005494146	SGD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU2005493098	USD	0.00%	30/09/2019	Schroder GAIA Nuveen Us Equity Market Neutral	N
LU0885727932	USD	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	Y
LU0955587471	CHF	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N

LU0885728310	EUR	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	Y
LU0885728070	USD	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	Y
LU1309082177	CHF	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU0885728401	EUR	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	Y
LU0885728666	GBP	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU0885728823	GBP	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU0885728153	USD	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU0885728583	EUR	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU0885728237	USD	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU2093156029	USD	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU2067263181	EUR	2.91%	30/09/2019	Schroder GAIA Sirios US Equity	N
LU1429039115	USD	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	Y
LU1429039891	CHF	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	N
LU1429039461	EUR	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	Y
LU1429039545	GBP	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	N
LU1429039206	USD	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	N

LU1429039032	USD	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	Y
LU1479556000	CHF	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	N
LU1429039388	EUR	37.85%	30/09/2019	Schroder GAIA Two Sigma Diversified	Y
LU1861627146	GBP	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861628037	CHF	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627575	EUR	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	Y
LU1861627815	USD	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627229	GBP	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627492	EUR	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627732	USD	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627062	GBP	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627658	EUR	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1861627906	USD	40.19%	30/09/2019	Schroder GAIA UK Dynamic Absolute Return Fund	N
LU1732474868	USD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	Y
LU1732476137	CHF	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	Y
LU1732475592	EUR	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	Y

LU1732476566	SGD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732474942	USD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732475089	USD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	Y
LU1732476210	CHF	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	Y
LU1732475675	EUR	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	Y
LU1732475915	GBP	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732476640	SGD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732475162	USD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732476301	CHF	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732475758	EUR	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732475246	USD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732476483	CHF	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732475832	EUR	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732476053	GBP	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732476723	SGD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N
LU1732475329	USD	34.47%	30/09/2019	Schroder GAIA Wellington Pagosa	N

per O

Firmatario Autorizzato

Disclaimer

Schroder GAIA (la "Società") è una SICAV (Società di investimento a capitale variabile) registrata in Lussemburgo e conforme alla direttiva 2009/65/CE. La Società è autorizzata alla commercializzazione delle proprie azioni in Italia ed ha depositato il Prospetto Informativo e le Informazioni Chiave per gli Investitori (KIID) presso l'Archivio Prospetti della CONSOB. Il presente documento ha carattere meramente informativo. Le informazioni e le opinioni contenute nello stesso non costituiscono una raccomandazione o consiglio, anche di carattere fiscale, o un'offerta al pubblico, finalizzata all'investimento nelle azioni della Società, e non devono in nessun caso essere interpretate come tali. Si raccomanda agli investitori di consultare i propri consulenti prima di procedere all'investimento.

Prima di sottoscrivere le azioni della Società, e per una descrizione dettagliata delle caratteristiche, dei rischi e degli oneri connessi con tale investimento, si prega di leggere il Prospetto Informativo e le Informazioni Chiave per gli Investitori (KIID). Il Prospetto Informativo, i KIID e la lista dei Collocatori in Italia delle azioni della Società possono essere consultati al seguente indirizzo www.schroders.it. Il Prospetto Informativo e i KIID sono inoltre disponibili su richiesta presso i Collocatori.

Il presente documento è pubblicato da Schroder Investment Management (Europe) S.A., 5 rue Höhenhof, L-1736, Senningerberg, R.C.S. Luxembourg – B 37799.