

Capacity Restricted Dealing Expression of Interest

Please complete this form and send a scanned copy by e-mail to your Local Sales Office.

Further contacts can be found in [Worldwide Locations](#).

Name of applicant:

Applicant submits the following Expression of Interest ("EOI") for allocation of capacity in the following fund under the Schroder Investment Management (Europe) S.A. Capacity Restricted Dealing Service:

	▼ indicates mandatory field	
Legal name of shareholder in the fund register/ name of investor:		
Account number of shareholder in the fund register and/or agent code used to place the subscription (direct account, clearing house, custodian/depositary bank, others - please specify) ¹ if any: ▼	Account:	
	Agent code:	
	Description:	
Name of sub-fund to be subscribed: ▼		
Requested capacity allocation (in share class currency): ▼	(Minimum Subscription 2M€ / Maximum Subscription 20M€)	
Currency: ▼		
Timeframe required to complete the subscription when invited to subscribe (in business days): ▼		
Primary applicant contact person name: ▼		
Telephone number (fixed line): ▼		
Telephone number (mobile):		
Email address: ▼		
Alternate applicant contact person/team name: ▼		
Telephone number (fixed line): ▼		
Email address: ▼		
Other information:		

Authorised signature: _____

Name: _____

Date: _____

¹ One EOI form must be submitted for every account or agent code for which capacity is requested.

Capacity Restricted Dealing

Important Terms and Conditions

1. For new customers, an account (direct or via clearing house, custodian/depository bank, other) must be opened and due diligence documents completed and up to date before Schroder Investment Management (Europe) S.A. (the "Management Company") will accept an applicant's expression of interest ("EOI") form.
2. EOI forms must be for a minimum aggregate value of €2 million or its equivalent in the relevant share class currency.
3. EOI forms must be scanned and sent by e-mail to the client's local Sales Office. No other method of submission will be accepted.
4. EOI forms must be completed fully and correctly to be valid. Only valid forms will be accepted.
5. The Sales Offices will acknowledge receipt of each EOI form received and confirm the validity of the application. Validly submitted forms will be added to the waiting list by the Management Company in strict order of receipt. A place on the waiting list does not guarantee that an invitation to subscribe will follow.
6. As capacity becomes available, invitations will be issued to applicants at the head of the waiting list in strict "first come, first served" order. Where capacity is offered to a fund for a limited time period, invitations will only be issued to applicants who are able to subscribe within that period in accordance with their EOI, in order of receipt. Invitations will be sent by e-mail to the address(es) given on the applicant's EOI form.
7. Invitations to subscribe will indicate the dates between which subscriptions may be submitted and the associated dealing cut-off times.
8. Financial institutions who have or deal through several accounts or agents must submit an EOI form for each account or agent through which they wish to subscribe.
9. Applicants who wish to subscribe following an invitation by the Management Company must ensure that the total aggregate value of their subscription(s) is below or equal to their Requested Capacity Allocation(s) stated on their EOI form(s). Financial institutions who subscribe through several accounts and agents must ensure that the total aggregate value of subscriptions through those accounts and agents does not exceed €20 million². The Management Company may reject subscriptions that exceed these limits.
10. Applicants who have submitted valid EOI forms will remain on the waiting list until they have been invited to subscribe as and when the Fund has available capacity. Applicants may withdraw their EOI form at any time. Any subsequent EOI form they submit will be treated as a new application and will join the tail of the waiting list. Applicants will be regularly contacted by their Sales Office to ensure they are still interested in the requested capacity.
11. Applicants will be asked to confirm the requested capacity allocation(s) as well as their capacity to subscribe the relevant amounts within the timeframe specified in the EOI by email within the 5 business days from the date on which they are invited to subscribe. This amount may not exceed the original EOI's requested capacity allocation.
12. Applicants must complete any investment due diligence within the time for subscription (and the associated dealing cut-off times) indicated in the invitation to subscribe.
13. Applicants who have been invited to subscribe but who fail to subscribe within the dates given in their EOI or who do not subscribe for the total value stated in the EOI may have their EOI removed from the waiting list. Any subsequent EOI form they submit will be treated as a new application and will join the tail of the waiting list.
14. When invited to subscribe, applicants may subscribe into any class of share for which they are eligible. Subscription orders should be submitted and settled in the usual manner.
15. The Management Company may allocate capacity outside of CRD in certain circumstances in accordance with the prospectus.

²€20 million or equivalent at the time of the subscription invitation.